

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: James P. Hoeffler et al..

Title: SINGLE CHAIN MONOCLONAL  
ANTIBODY FUSION REAGENTS  
THAT REGULATE  
TRANSCRIPTION *IN VIVO*

Appl. No.: 09/939,769

Filing Date: 08/28/2001

Examiner: Unassigned

Art Unit: Unassigned



**TRANSMITTAL OF RESPONSE TO NOTICE TO COMPLY**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to Comply with Requirements for Sequence Listing mailed on October 10, 2001, in which a response is due to be filed on December 10, 2001, transmitted are:

- ☒ [ X ] Copy of PTO Notice to Comply.
- ☒ [ X ] Petition for one-month Extension of Time and Check No. \_\_\_\_\_ in the amount of \$110.00.
- ☒ [ X ] Amendment In Response To Notice to Comply with Requirements for Sequence Listing directing its entry into the specification.
- ☒ [ X ] A statement that the content of the substitute paper and CRF are identical and, where applicable, include no new matter.
- ☒ [ X ] A paper copy of the Sequence Listing.
- ☒ [ X ] A computer readable form (CRF) copy of the Sequence Listing.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be

enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 10 January 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,769	08/28/2001	James P. Hoeffler	039322-0226

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CONFIRMATION NO. 3866

## FORMALITIES LETTER



\*OC00000006881355\*

Date Mailed: 10/10/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'Mh', is written above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE